

Appr

Patent and Trademark

PTO/SB/21 (05-03)

Effective through 04/30/2003. OMB 0651-0031

U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

29

09/654,542

9/1/2000

First Named Inventor

Malcolm G. Smith, Sr. RECEIVED

Art Unit

2876 JAN 28 2004

Examiner Name

Frech, Karl D. OFFICE OF PETITIONS

Total Number of Pages in This Submission	29	Attorney Docket Number	ULT-005-2C3C
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ENCLOSURES (check all that apply)

- Petition For Revival (PTO/SB/64)
- Terminal Disclaimer
- Request For Continued Examination
- Response to Office Action
- Fee Transmittal Form
 - Deposit Account 50-0574
- Information Disclosure Statement including copies of cited references
- Certified Copy of Priority Document(s)
- Response to Missing Parts/Incomplete Application
 - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers
(for an Application)
- Request To Amend Drawings
- Redline Drawings
- Power of Attorney, By Assignee to Exclusion of Inventor Under 37 CFR 3.71 With Revocation of Prior Powers
- Statement Under 37 CFR 3.73(b)
- Request for Refund
- Extension of Time Request
- Request For Replacement Filing Receipt

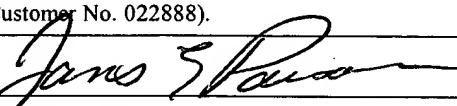
- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Additional Enclosure(s)
(please identify below):

Return-receipt postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm
or
Individual name James E. Parsons, Reg. No. 34,691,
BEVER, HOFFMAN & HARMS, LLP
(Customer No. 022888).

Signature 

Date January 21, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Carrie Reddick

Signature  Date January 21, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**FEE TRANSMITTAL****for FY 2004**

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 C.F.R. § 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 1,050.00)**Complete if Known**

Application Number	09/654,542
Filing Date	9/1/2000
First Named Inventor	Malcolm G. Smith, Sr.
Examiner Name	Frech, Karl A.
Art Unit	2876
Attorney Docket Number	ULT-005-2C3C

RECEIVED

JAN 28 2004

OFFICE OF PETITIONS**METHOD OF PAYMENT** (check one)

1. The Director is authorized to charge indicated fees and credit any over payments to:

Deposit Acct. No. **50-0574 (Docket No. ULT-005-2C3C)**Deposit Acct Name **Bever, Hoffman & Harms, LLP**

- Charge Any Additional Fee Required Under 37 CFR § 1.16 & 1.17

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =		
Independent Claims	-3** =		
Multiple Dependent		=	

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	**Reissue independent claims over original patent
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	665.00
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Petitions related to provisional applications	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	385.00
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (Specify) _____			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1,050.00)**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	James E. Parsons	Registration No. (Attorney/Agent)	34,691	Telephone	(408) 451-5906
Signature				Date	January 21, 2004